Understanding Sleep Study Reports and Selecting Patients for Treatment With Oral Appliances

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Learning Objectives

- 1. To have a basic understanding of Obstructive Sleep Apnea and its prevalence.
- 2. To have an understanding of how to identify patients at risk for OSA.
- 3. To have a basic understanding of diagnostic tests (sleep studies) for OSA.
- 4. To understand how to select patients for treatment of OSA with oral appliances

Disclosure

I have no actual or potential conflicts of interest in relation to this program/presentation.

David W. Kohls, APNP

Obstructive Sleep Apnea

- Repeated apneas and hypopneas due to collapse of the upper airway during sleep.
 - Apnea No airflow for 10 seconds or more with no change in effort
 - Hypopnea Decreased airflow of at least 30 % with a 4% oxygen desaturation
 - ▶ AHI Number of apnea/hypopnea events per hour of sleep
 - ▶0-5 Normal
 - ▶ 5-15 Mild
 - ▶ 15-30 Moderate
 - > 30 Severe

Respiratory Effort Related Arousal (RERAS) – Sleep disturbance caused by upper airway narrowing causing increased effort to breathe

Respiratory Disturbance Index (RDI) = Apneas + Hypopneas + RERAS/TST

Prevalence of OSA

- Prevalence appears to be high, but it is not clear
- Approximately 42 million American adults have SDB
- ▶ 1 in 5 has mild OSA
- ▶ 1 in 15 has severe OSA
- 9% of middle-aged women and 25% of middle-aged men suffer from OSA
- Most patients are asymptomatic or unaware of the indicators
- ▶ At least 75% of patients with OSA remain undiagnosed

- Prevalence increases with age
- Less than 10% at age 40
- Approximately 20% at age 60
- Increases in the incidence of obesity

Increasing Rate of Discovery

- Wisconsin Sleep Cohort Study 1988-2011
 - ▶ 10% of adults 30-60 years old had clear evidence of OSA
- The Sleep Heart Health Study in the late 1990's
 - Approximately 17% of adults had OSA
- The National Sleep Foundation Poll in 2005
 - ▶ As many as 25% of American adults are at high risk for OSA

Prompts to seek medical attention

STOP-Bang Questionnaire

For the Assessment of Obstructive Sleep Apnea Risk

16				
It so, are	you currently using CPAP to treat your OSA? Yes	No		
Please	answer the following eight questions Yes or I	Vo		
ES NO	Snoring: Do you snore loudly?		HEIGHT	WEIGH
			4'10	167
	Tiredness/Fatigue: Do you often feel sleepy durin	g the	4'11	173
	day, even after a "good" night's sleep?		5'0	179
	Observed Apnea: Have you ever been told you stop breathing during your sleep?		5'1 5'2	185 191
			5'3	197
	Pressure: Do you have or are you being treated for	d for	5'4	204
	hypertension?	ed for	5'5	210
	BMI: Do you weigh more for your height than is shown		5'6	216
			5'7	223
	on the table at the right?	5'8	230	
	Age: Are you over 50 years old?		5'9	237
	Age. Are you over 30 years old:		5'10	243
7 [Neck size: Is your neck size more than 15 3/4" or 40	cm?	5'11	250
_,	and the second		6'0	258
	Gender: Are you a male?		6'1	265
			6'2	272
Score: T	otal number of "yes" answers		6'3	279
Interpre	atation:		6'4	287
interpre	rtation.		6'5	295
	ligh risk of OSA: answered yes to 3 or more questio Dease take this form to your physician to discuss your sleep re		cerns	

Chung et.al, "STOP Questionnaire: A Tool to Screen Patients for Obstructive Sleep Apnea", Anesthesiology. 2008; 108(5):812-821

Please take this form with you and bring it to your physician to discuss your sleep concerns

This screening questionnaire is not a substitute for professional medical advice, and should not be used to diagnose or treat
a health problem. Please know federal guidelines may require use of different sleep apnea screening criteria for certain
occupations. Please consult with your primary care physician or healthcare provider if you have any questions or concerns.

Berlin Questionnaire Sleep Apnea

Height (m) Weight (kg) Age	Male / Female
Please choose the correct response to each que	estion.
Category 1	Category 2
1. Do you snore? a. Yes b. No c. Don't know If you answered 'yes':	6. How often do you feel tired or fatigued after your sleep? a. Almost every day b. 3-4 times per week c. 1-2 times per week d. 1-2 times per month e. Rarely or never
2. You snoring is: a. Slightly louder than breathing b. As loud as talking c. Louder than talking	7. During your waking time, do you feel tired, fatigued or not up to par? □ a. Almost every day □ b. 3-4 times per week □ c. 1-2 times per week □ d. 1-2 times per month □ e. Rarely or never
3. How often do you snore? a. Almost every day b. 3-4 times per week c. 1-2 times per week d. 1-2 times per month e. Rarely or never	8. Have you ever nodded off or fallen asleep while driving a vehicle? □ a. Yes □ b. No If you answered 'yes':
4. Has your snoring ever bothered other people? a. Yes b. No c. Don't know	9. How often does this occur? a. Almost every day b. 3-4 times per week c. 1-2 times per week d. 1-2 times per month e. Rarely or never
5. Has anyone noticed that you stop breathing during your sleep? a. Almost every day b. 3-4 times per week c. 1-2 times per week d. 1-2 times per month e. Rarely or never	Category 3 10. Do you have high blood pressure? Yes No Don't know

Scoring Berlin Questionnaire

The questionnaire consists of 3 categories related to the risk of having sleep apnea. Patients can be classified into High Risk or Low Risk based on their responses to the individual items and their overall scores in the symptom categories.

Categories and Scoring:

Category 1: items 1, 2, 3, 4, and 5;

Item 1: if 'Yes', assign 1 point

Item 2: if 'c' or 'd' is the response, assign 1 point

Item 3: if 'a' or 'b' is the response, assign 1 point

Item 4: if 'a' is the response, assign 1 point

Item 5: if 'a' or 'b' is the response, assign 2 points

Add points. Category 1 is positive if the total score is 2 or more points.

Category 2: items 6, 7, 8 (item 9 should be noted separately).

Item 6: if 'a' or 'b' is the response, assign 1 point

Item 7: if 'a' or 'b' is the response, assign 1 point

Item 8: if 'a' is the response, assign 1 point

Add points. Category 2 is positive if the total score is 2 or more points.

Category 3 is positive if the answer to item 10 is 'Yes' or if the BMI of the patient is greater than 30kg/m₂.

(BMI is defined as weight (kg) divided by height (m) squared, i.e., kg/m2).

High Risk: if there are 2 or more categories where the score is positive.

Low Risk: if there is only 1 or no categories where the score is positive.

Additional Question: item 9 should be noted separately.

THE EPWORTH SLEEPINESS SCALE

How likely are you to doze off or fall asleep in the following situations, in contrast to feeling just tired? This refers to your usual way of life in recent times. Even if you have not done some of these things recently try to work out how they would have affected you. Use the following scale to choose the most appropriate number for each situation:

0 = no chance of dozing

1 = slight chance of dozing

2 = moderate chance of dozing

3 = high chance of dozing

SITUATION	CHANCE OF DOZING
Sitting and reading	
Watching TV	
Sitting inactive in a public place (e.g a theater or a meeting)	
As a passenger in a car for an hour without a break	
Lying down to rest in the afternoon when circumstances permit	
Sitting and talking to someone	
Sitting quietly after a lunch without alcohol	
In a car, while stopped for a few minutes in traffic	

To check your sleepiness score, total the points . Check your total score to see how sleepy you are.

A Score of 10 or More Indicates EDS

Comparison of Screening Questionnaires for OSA

- ▶ Berlin, STOP-Bang, Stop, Epworth Sleepiness Scale
- 234 patients completed questionnaire and underwent attended polysomnography
- Sensitivity to predict OSA
 - ► STOP-BANG 97.55%
 - ▶ Berlin 95.07%
 - ► STOP 91.67%
- Low Specificity
 - ▶ 26.32%, 25%, and 25%, respectively

- Epworth Sleepiness Scale
 - ► Highest specificity 75%
 - ►But the lowest sensitivity 72.55%

Comparison of Four Questionnaires for Screening Obstructive Sleep Apnea, Egyptian J of Chest Diseases and Tuberculosis, Vol 61, Issue 4, Oct 2012, 433-441

Best Source of Information/History?

- Many patients minimize or do not recognize the indicators of OSA
- Bed partners or caregivers generally are better at identifying snoring, abnormal breathing, and EDS

Sagaspe P, et al. Might the Berlin Sleep Questionnaire Applied to Bed Partners be Used to Screen Sleep Apneic Patients? Sleep Med 2010;11:479-483

Modified Mallampati Score

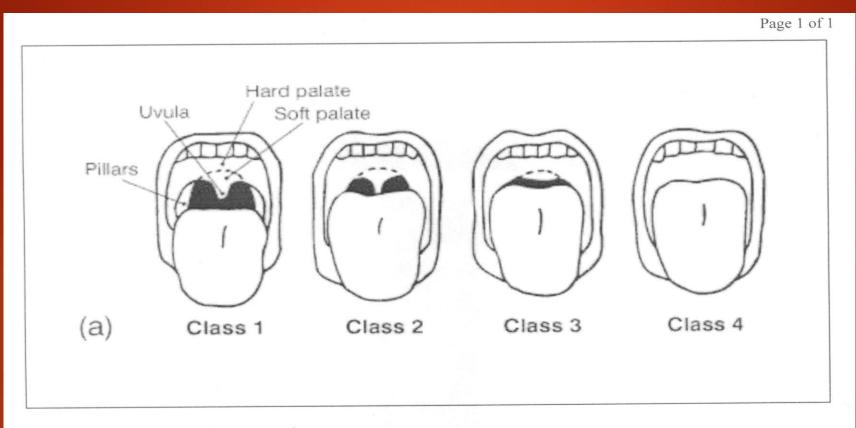
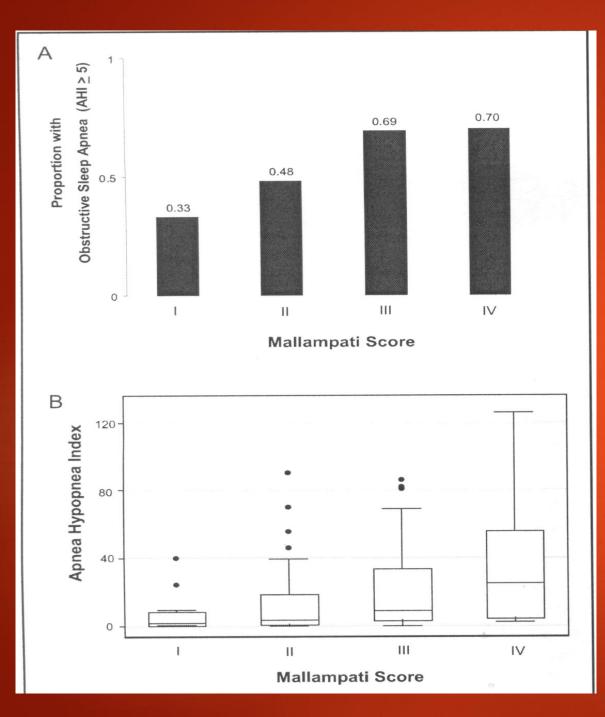


Figure 1. The Mallampati score:

- Class 1. Complete visualization of the soft palate
- Class 2. Complete visualization of the uvula
- Class 3. Visualization of only the base of the uvula
- Class 4. Soft palate is not visible at all



- For every 1-point increase in the score, the odds of having OSA increase by more than 2-fold
- The AHI increases by more than 5 events per hour

Physical Examination: Mallampati Score as an Independent Predictor of Obstructive Sleep Apnea, Sleep, Vol. 29, No. 7, 2006

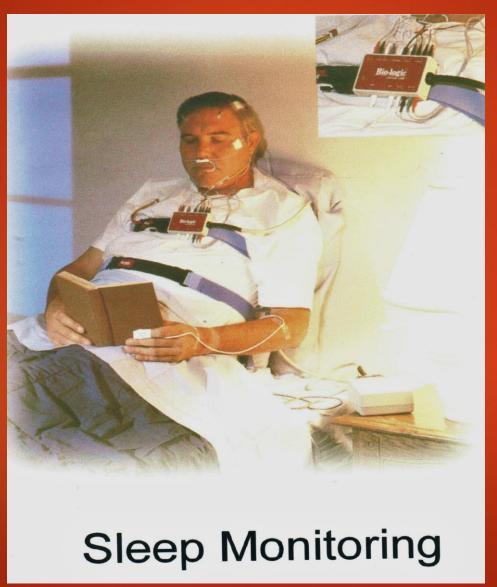
Testing For OSA

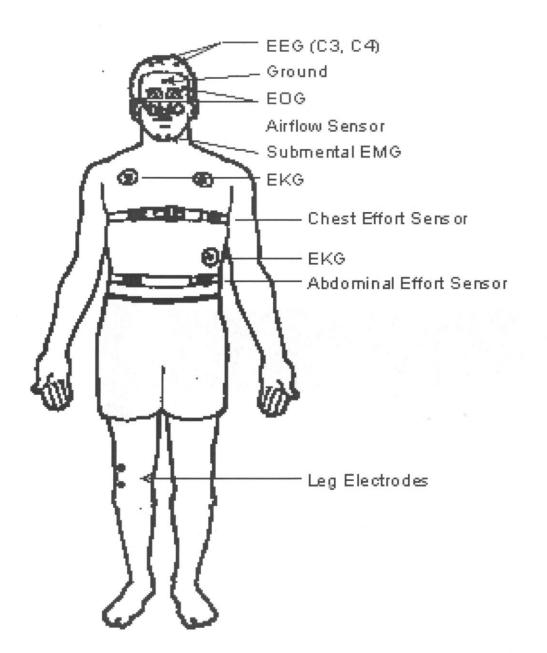
Four Types of Monitoring Devices Have Been Defined

Type 1 Devices

- Considered the Gold Standard Form of Testing
- Technician Attended, Overnight Polysomnography

Polysomnography





- ➤ Includes Pulse Oximetry
- > Additional Options
 - >Transcutaneous CO2
 - > Esophageal Pressure
 - > Forearm EMG

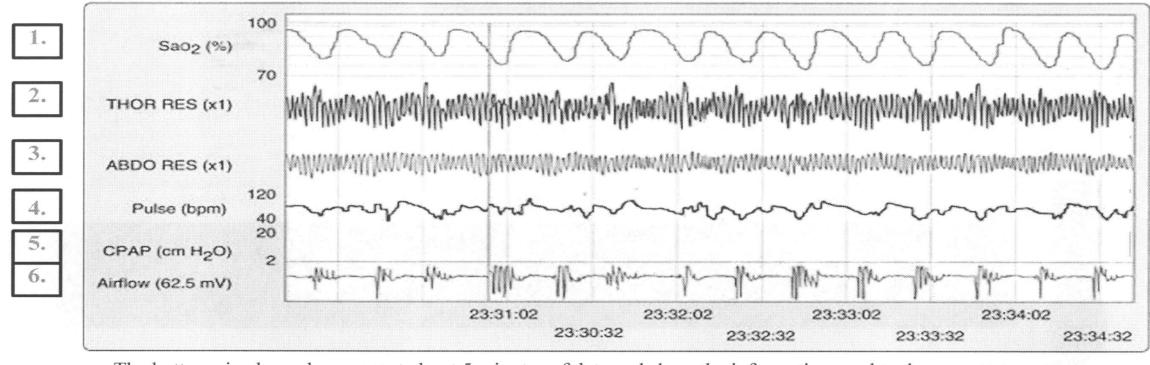


Let's Review: Obstructive Apnea



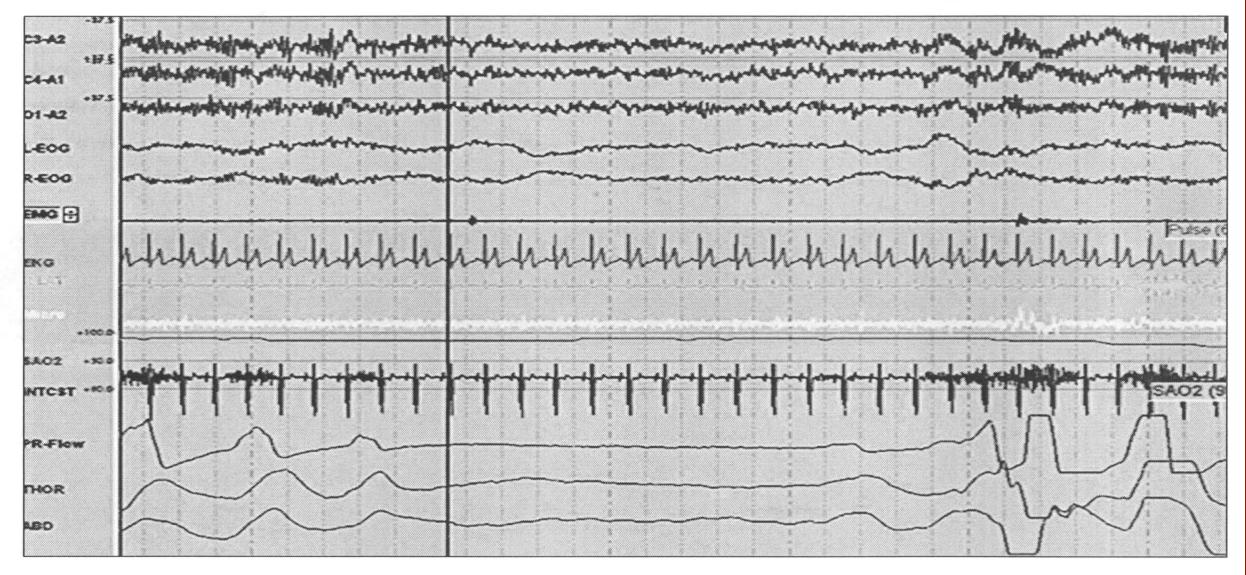
Snoring at the end of the apneas & arousal

The PSG: A patient with severe OSA



- The bottom six channels represent about 5 minutes of data and show the information used to document apnea type.
- The channels from top to bottom are:
- 1. oxyhemoglobin saturation (SaO₂),
- 2. thoracic movement,
- 3. abdominal movement
- 4. pulse rate
- 5. CPAP pressure, and
- 6. nasal airflow

Let's Review: Central Apnea



"THOR" and "ABD" leads show no respiratory effort

ST. VINCENT HOSPITAL SLEEP DISORDERS CENTER

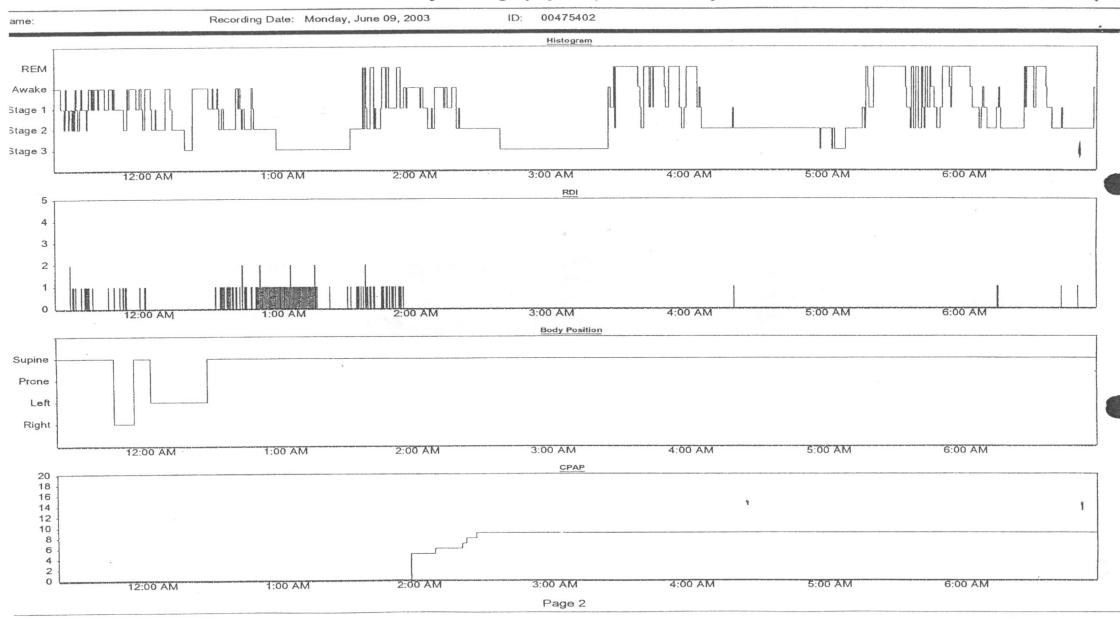
POLYSOMNOGRAPHY REPORT

Patient Name:	Study Date:	06/09/2003		Refe	rring Physician:	Reynolds			
ID:	Height:	67"		Scor	er:	aurie			
DOB:	Weight:	160 lbs.		Start	/End Time:	11:19 PM - 6:57 AI	М		
DIA .	GNOSTIC				POST-TE	REATMENT			
Minutes %	# of Breathing Related Arousals	5		1	Minutes %	# of Breathing	Related Aro	usals	4
Total Sleep Time (TST) 124.0	# of Central Apneas	4	Total Sleep T	ime (TST)	276.5	# of Central Ap			2
STG 1 . 34.5 27.8%	# of Obstructive Apneas	97	STG 1		34.0 12.3%	# of Obstructiv	e Apneas		1
STG 2 47.0 37.9%	# of Mixed Apneas	8	STG 2		125.5 45.4%				C
Delta 36.0 29.0%	# of Hypopneas	17	Delta		52.5 19.0%]	0
REM 6.5 5.2%	Apnea Index per (# of apneas)	61.0	REM		64.5 23.3%			pneas	0.7
vernent Time 0.0 0.0%	Hour of Sleep (TST / 60)		Movement Ti		0.0 0.0%		. `	/60 /	
Recording Time (TRT) 156.0	Resp. Dist. Index per Hr. of Sleep (BRAs + Apneas TST / 60	63.4	Tot. Recordin		299.5	Resp. Dist. Inc per Hr. of Slee	21.4.10	+ Apneas ST / 60	1.5
Sleep Latency 3.5 2.2%	Lowest O2 Saturation %	70	Wakefulness	L	23.0 7.7%	Lowest O2 Sa		31700	C
Wakefulness 28.5 18.3%	Baseline Saturation	96	Total Time O		0.0 0.0%	Baceline Satu			0
Total Time Out of Bed 0.0 0.0%	Total Periodic Leg Movements	9	Awakenings		11	Total Periodic		ents	
Awakenings Total 21	in Sleep (PLMS)	0	Awakenings			in Sleep (PLM			0
Awakenings > 1 Min.	Total PLMS with Arousal	0	Total # Stage		(100) 92.3%		ith Arousal		C
Total # Stage Shifts 84 Sleep Efficiency (TST / TRT x 100) 79.5%	PLMS Index (PLMS TST/60)	0.0		ncy (TST / TRT x	,		(PLM	is \	0.0
, ,	PLMS Index (TST/60)	0.0		n 1st 1/2 of Night n 2nd 1/2 of Nigh	-		TST	60 /	
Duration Rem 1st 1/2 of Night 6.5 Duration Rem 2nd 1/2 of Night 64.5	Parameters		Total # Rem	_	04.	-	Parame	eters	
REM Latency 134.0	EEG (C4C3, O2O1, A2A1)		Total # Ttelli	1 3		EEG (C4C3, C	0201, A2A1) 🗸	
Total # Rem Ps 4	EOG ECG	\checkmark				EOG		ECG	~
Total // Nomi i o	EMG: Chin V Legs	V				EMG: Chin	~	Legs	~
	Respitrace Intercostal					Respitrace	~	Intercostal	
	Snore Mic. Therms	~				Snore Mic.	~	CPAP Flov	w 🗸
	Seizure Montage PES					Seizure Monta	age 🗌		
	ET CO2 CPAP Flow	w 🗌				PES			
	Arms (Motion Detectors)					Arms (Motion	Detectors)		
Number of Res	spiratory Events Per:			1	Number of Re	spiratory Eve	nts Per:		
Body Position					Body Position				
Supine Prone Left	Right REM NREM			Supine	Prone Let	t Right	REM	NREM	
Obstructive 96 0		89	Obstructive	1	0	0 0		0	1
Mixed 8 0	0 0 0	8	Mixed	0	0	0 0	(0
Central 4 0	0 0 1	3	Central	2	0	0 0	(2
Hypopnea 12 0		17	Hypopnea	0	0	0 0		0	0
BRA's 5 0	0 0 0	5	BRA's	4	0	0 0	(4
Total 125 0	0 6 9 1	22	Total	/	0	0 0	(J	1

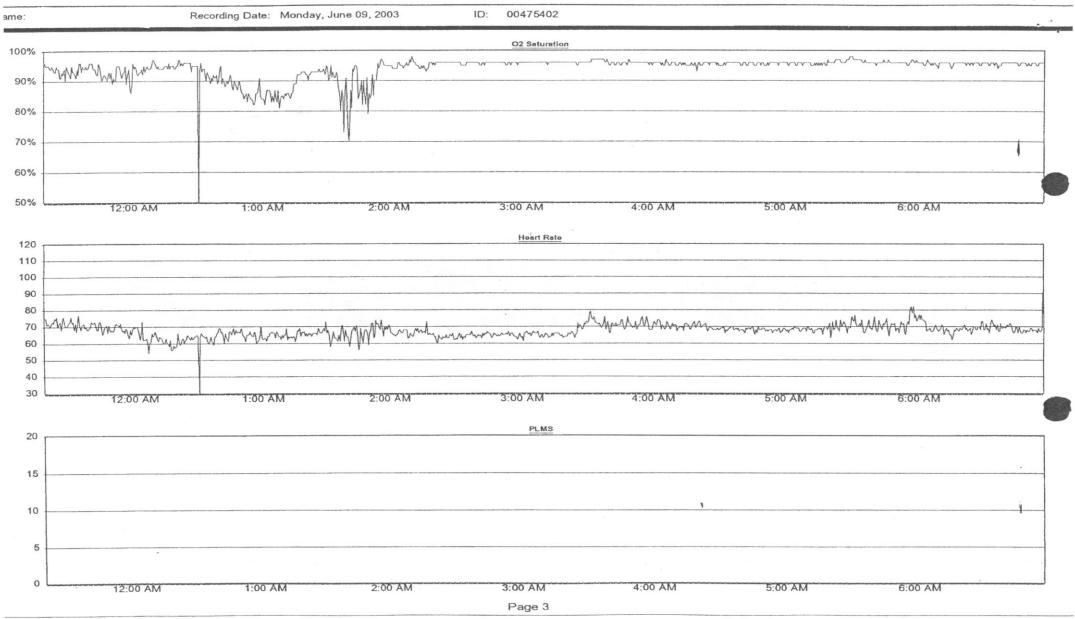
Patient was tested for 7.6 hours with standard polysomnography, of which he slept 6.7 hours. A short sleep latency was scored. The pt. Snored.

Obstructive apneas were scored. CPAP +5 was started and titrated up to +9. In the morning the pt. Stated that he had slept better than he usually does at home.

St. Vincent Hospital Sleep Disorders Center Polysomnography Graphic Summary



St. Vincent Hospital Sleep Disorders Center Polysomnography Graphic Summary



Type 2 Devices

- Can Record The Same Variables As Type 1 Devices
- Done Outside Of The Sleep Lab And They Are Unattended Studies

Type 3 Devices

- Four To Seven Variables Measured
 - Two Respiratory Variables Effort and Airflow
 - A Cardiac Variable Heart Rate or ECG
 - Oxyhemoglobin Saturation via Pulse Oximetry
 - Some Devices Detect Snoring, Body Position, or Movement
 - Sleep Stages Are Typically Not Measured
 - ► Unattended Studies



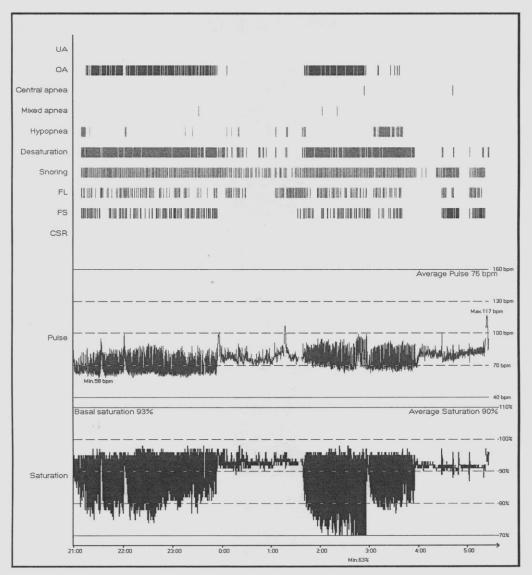
RESMED

ApneaLink - Report of 5/17/2016 1:35 PM

Treating physician David Kohls APNP			Referral to	
Patient data First name: Last Name: Street: City, ST, Zip: Phone:			Patient ID: DOB: Height: Weight: BMI:	5 ft 7 in 218.54 lbs 34.3 kg/m²
Start: End:	5/13/2016 8:57 PM . 5:38 AM . 8 h 41 min		Evaluation Start: End: Duration:	9:07 PM . 5:20 AM . 6 h 10 min
parameter 1		AF	*	
Norma	l range		Suspected pathological bro	eathing disorder
	• • • • • •		[Result (62)
* See Clinical Guide for abbreviations a Analysis (Flow evaluation Indices AHI*: API*: Apnea index: UAI: OAI: CAI: MAI: Hypopnea index: % Flow lim. Br. without Sn (FS): % Flow lim. Br. with Sn (FS):	period: 6 h 10 min / S 62 66 54 0 54 0 8		riod: 8 h 11 min) Result Average breaths per minute [bp Breaths: Apneas: Unclassified apneas: Obstructive apneas: Central apneas: Hypopneas: Hypopneas: Flow lim. Br. without Sn (FL): Flow lim. Br. with Sn (FS): Snoring events:	m]: 7.05 2606 337 0 (0%) 332 (99%) 2 (1%) 3 (1%) 50 365 551 2806
ODI Oxygen Desaturation Ind Average saturation: Lowest desaturation: Lowest saturation: Baseline Saturation: Minimum pulse: Maximum pulse: Average pulse:	90 63 93 58 117 75	< 5 / h 94% - 98% - 90% - 98% % > 40 bpm < 90 bpm bpm	No. of desaturations: Saturation ≤ 90%: Saturation ≤ 85%: Saturation ≤ 80%: Saturation ≤ 89%: Saturation ≤ 88%:	428 176 min (36%) 103 min (21%) 44 min (9%) 158 min (32%) 143 min (29%)
Analysis status: Edited manua				
Analysis parameters used (Default)	100s; 1.0s]; Snoring [6.	0%; 0.3s; 3.5s; 0.5s]; Desaturation [4.0%]; CS	SR [0.50]



RESMED



Type 4 Devices

- Record One Or Two Variables
 - ► Airflow
 - Pulse Oximetry
 - Unattended By A Technician
 - A Novel CMS Defined Type 4 Device Measures Arterial Tonometry, Oximetry, Snoring, Actigraphy, And Body Position.

Sleep Study Report



 Start Study Time:
 9:03:06PM

 End Study Time:
 6:00:13AM

 Total Study Time:
 8 hrs, 57 min

 Sleep Time
 8 hrs, 8 min

Sleep Time 8 hrs, 8 min
% REM of Sleep Time: 27.7

Respiratory Indices

	REM	NREM	All Night
pRDI:	33.6	22.0	25.2
pAHI:	30.9	20.6	23.5
ODI:	24.2	17.6	19.4

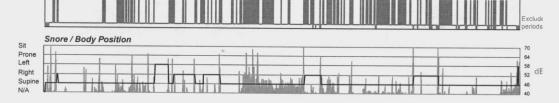
Indices are calculated using valid sleep time of 8 hrs, 5 min. pRDI/pAHI are calculated using oxi desaturations ≥ 4%

Oxygen Saturation Statistics

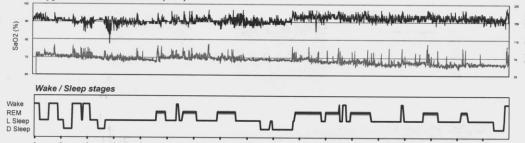
Mean of Desa	1 Minimum turations Nadirs (%)		Maximum:		97 89
- Desa	turations ivadirs (7	70).			08
Oxygen Desa	tur. %:	4-9	10-20	>20	Total
Events Number	er	154	3	0	157
Total		98.1	1.9	0.0	100.0
Oxygen Satur	ration <90	0 <88	<85	<80	<70
Duration (minu	utes): 50.3	5.5	0.6	0.1	0.0
Sleep %	10.3	1.1	0.1	0.0	0.0

Pulse Rate Statistics during Sleep (BPM) Mean: 70 Minimum: 49 Maximum: 108

PAT Respiratory Events



Oxygen Saturation / Pulse Rate (BPM)



PAP Therapy is the Gold Standard Treatment for OSA

Air pressure, measured in cmH2O, is used to splint the upper airway open

Adherence to PAP Therapy

- > 50-60 % of patients are adherent to PAP therapy
- 29-83% of patients are non-adherent to PAP therapy
- The decision is usually made during the first week of therapy
- Use increases gradually once the decision to adhere is made
- The average use of PAP therapy is approximately five hours per night

PAP or No PAP?

- 2006 AASM Practice Parameters
- PAP therapy is an option in mild OSA
- Mixed results in studies of outcome
- PAP reduces AHI, but does not necessarily reduce BP or improve EDS, mood, or quality of life
- Of 32 patients with AHI < 10, ten had improved quality of life at 4 weeks
- ▶ At 3 months, only 4 continued to adhere to PAP therapy

PAP or No PAP?

- Important to correlate AHI with symptoms and comorbidities
- As many as 25% of sleep patient have more than one sleep disorder
- Consultation and patient education with a sleep specialist

Oral Appliances

- ► AASM/AADSM 2015 Guidelines
- OA should be prescribed, rather than no therapy, for patients requesting treatment for primary snoring (STANDARD)
- A qualified dentist should use a custom, titratable device (GUIDELINE)
- Consider OA for patients who are intolerant of PAP therapy or prefer alternative therapy (STANDARD)
- Qualified dentist provides oversight of treatment and periodic follow up visits with sleep specialist (GUIDELINE)
- Follow up testing (GUIDELINE) (24)

Oral Appliances (cont.)

- Most Effective For Mild to Moderate OSA
- ► AHI Above 30 About 50% effective
- On Average, Resolution of OSA (AHI < 5) Occurs in About 48% of Patients (Range – 29 to 71%)
- Approximately One-third of Patients Experience No Therapeutic Benefit
- On PSG, The Effectiveness of PAP is Superior
- However, Many Patients Prefer Oral Appliances and Report Greater Adherence to Therapy
 - This May Counteract the Inferiority Over PAP to Reduce AHI

Sutherland K, et al. <u>Oral Appliance Treatment for Obstructive</u>
<u>Sleep Apnea: An Update J Clin Sleep Med</u> 2014:10(2):215-227

Patient Selection For Treatment With An Oral Appliance

Favorable Factors

- Less severe disease
- Supine-predominant OSA
- Younger age, female gender
- Lower BMI and neck circumference
- Shorter soft palate length
- Greater angle between the cranial base and mandibular plane
- A retrognathic mandible (Class 2 malocclusion)
- These factors = a higher likelihood of success, but are not universal

Sutherland K, et al. Oral Appliance Treatment for Obstructive Sleep Apnea: An Update J Clin Sleep Med 2014:10(2):215-227

Who Should Not Be Considered A Candidate For An OA

- Patients with Central Sleep Apnea
- Patients with sleep related hypoventilation
 - COPD, obesity-related hypoventilation, neuromuscular disease, drug-induced hypoventilation
- Patients with poor dentition or who are edentulous
- Patients with acute TMJ derangement or disc displacement

Sutherland K, et al. Oral Appliance Treatment for Obstructive Sleep Apnea: An Update J Clin Sleep Med 2014:10(2):215-227

- ▶ Patients who require objective monitoring for their occupations
- ? Patients with prior orthodontic correction

Obstacles

- Insurance Coverage
- Awareness Among Healthcare Professionals and Patients

Summary

Oral Appliances Can Be A Very Effective Alternative To PAP Therapy

Improving Awareness of This Among Health Care Providers and Patients Has The Potential To Help A Significant Number Of Patients With OSA Who Would Otherwise Remain Untreated And At Risk For Reduced Quality Of Life And Significant Health Consequences

A Collaborative Relationship Between Dentists and Sleep Medicine Specialists Is The Key

Thank You

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