

Ying-Yang of Sleep

Shift Work in the Sleep Center & An Update on Home Sleep Testing

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Educational Objectives

- To describe the impairing effects of shift work disorder
- To identify behavioral strategies to better cope with shift work
- To learn about the benefits of home sleep testing
- To name the contraindications for an unattended home sleep testing



Disclosures

- I am a full time sleep physician and an employee of the Sleep Wellness Institute.
- I do not have any other financial conflicts of interest to report.



SHIFT WORK IN THE SLEEP CENTER

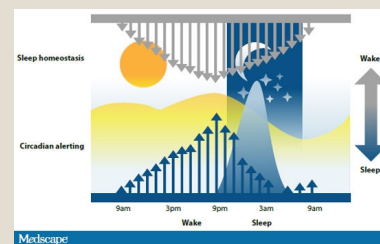
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Defining Sleep

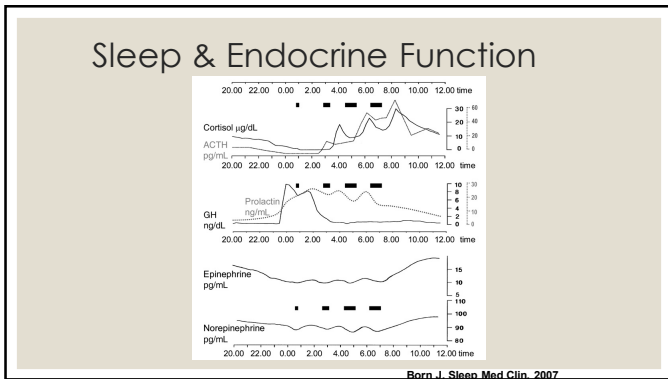
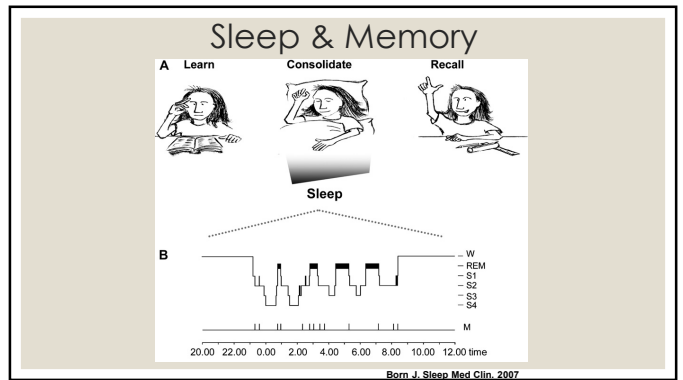
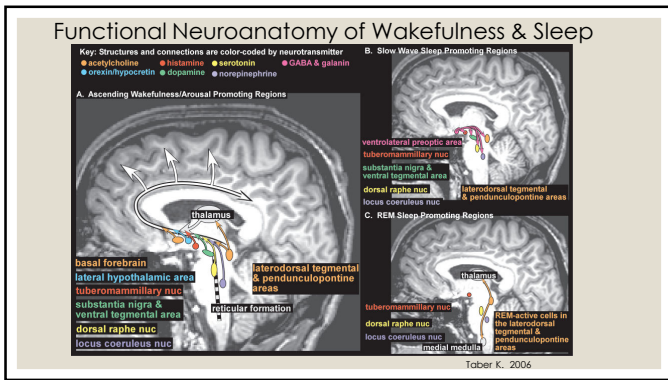
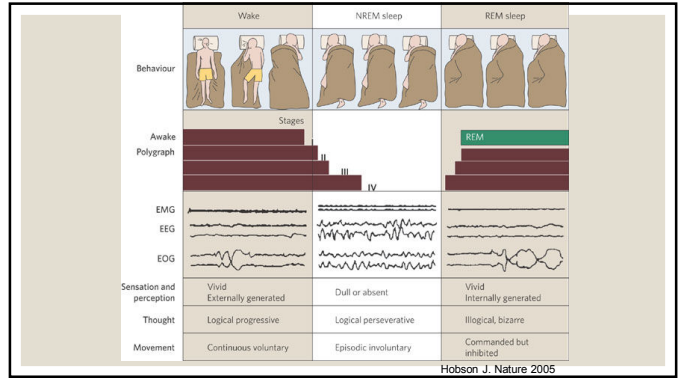
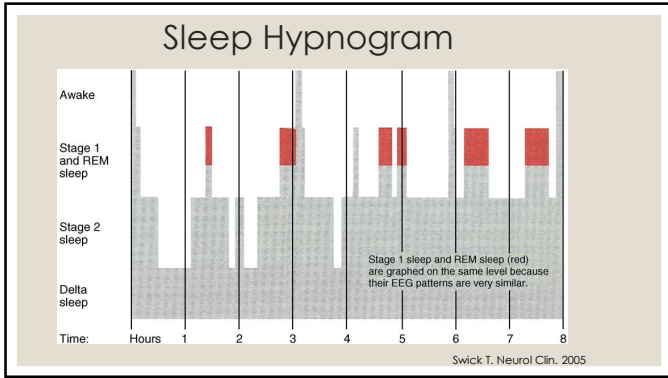
- Species specific behavior characterized by a state of immobility with greatly reduced responsiveness.
- Distinguished from coma or anesthesia by its rapid reversibility.
- The timing and duration of sleep are species specific and determined by a circadian process and a homeostatic sleep pressure.

Siegel J. Nature 2005

Homeostatic and Circadian Processes: Two Process Model



www.medscape.com



- ### Shift Work Disorder (SWD)
- Shift work = Non-standard work schedules
 - Permanent or intermittent night work – Sleep Technologists
 - Early morning work
 - Rotating schedules
 - Development of sleep disturbances
 - Impairment of waking alertness and performance
 - Individual differences in susceptibility to SWD (phase tolerance)
 - 20% of U.S. workers are involved in some form of shift work
 - Percentage of workers with SWD is unknown
- Morgenthaler. Sleep, 2007

Chronic Insomnia

- Sleep disturbance > 30 days:
 1. Difficulty in initiating sleep
 2. Difficulty in maintaining sleep
 3. Waking up too early
- Adequate opportunity and circumstances for sleep
- Daytime disturbances

Schutte-Rodin et al. JCSM. 2008

Sleepiness

- Propensity to fall asleep
 - i.e.: sleep deprivation, narcolepsy, obstructive sleep apnea, shift work, drugs
- Objective ≠ Subjective
- Objective measurement
 - Multiple Sleep Latency Test (MSLT)
- Subjective measurement
 - Epworth, Stanford scales

Shen. Sleep Med Rev. 2006

Fatigue

- Overwhelming sustained sense of exhaustion and decreased capacity for physical and mental work
- Classification
 - Acute vs. chronic
 - Physiological vs. psychological
 - Central vs. peripheral
- Treatment of choice is non-pharmacological

Shen. Sleep Med Rev. 2006

Alertness

- Capacity to stay awake
- Objective ≠ Subjective
- Objective measurement
 - Maintenance of wakefulness test 40 minute protocol (MWT-40)

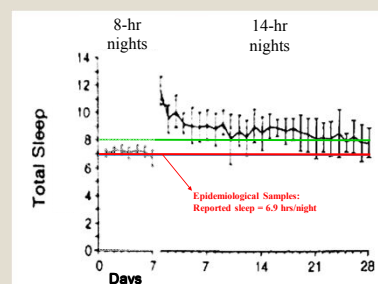
Littner. Sleep. 2005

Determinants of Impaired Performance

- Sleep Deprivation
- Circadian Phase
- Time on Task

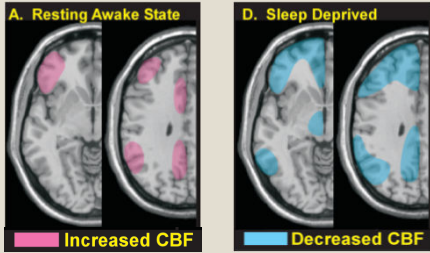


Homeostatic Quantification of Sleep Need



Adapted from: Wehr et al. 1993

Effects of Sleep Deprivation on the Brain



Taber K, Hurley R. 2006

Subjective-Objective Discrepancy

		Objective (MSLT)	
		Sleepy	Alert
Subjective (Epworth)	Sleepy	40%	35%
	Alert	60%	65%
		100%	100%

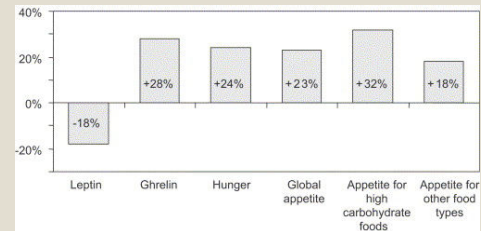
Howard et al., 2002

Detection of "Microsleeps"

		Physiologic State	
		Sleep	Wake
Reported State	Sleep	51%	12%
	Wake	49%	88%
		100%	100%

Howard et al., 2002

Metabolic Changes: 4 Hours of Sleep



Spiegel K et al. Ann Intern Med 2004

Partial Sleep Loss: Metabolic Consequences

- ↓Leptin
- ↑Ghrelin
- ↑Cortisol
- ↑Growth Hormone
- ↑Catecholamines
- ↑Insulin secretion (resistance)

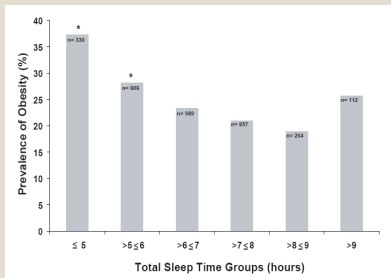
Knutson KL. Sleep Med Clin. 2007

Partial Sleep Loss: Metabolic Consequences

- Altered glucose metabolism.
- Increased appetite.
- Unhealthy food choices.
- More time to eat.
- Reduced energy expenditure?
- Reduced physical activity?

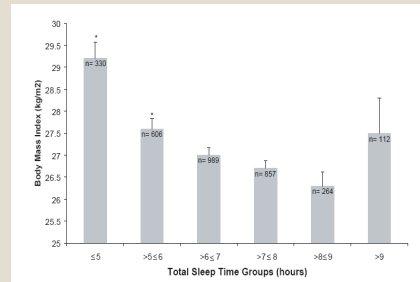
Knutson KL. Sleep Med Clin. 2007

Sleep Duration & Obesity



Singh et al. JCSM 2005

Sleep Duration & BMI



Singh et al. JCSM 2005

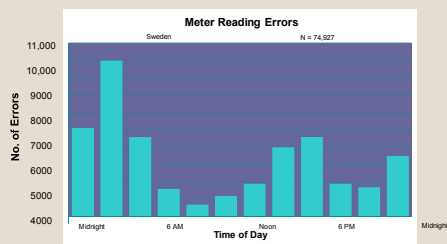
Starting as a Sleep Technologist



... A Few Years Later

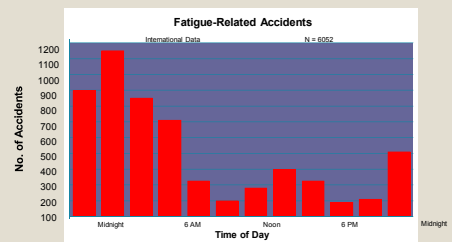


Performance Errors

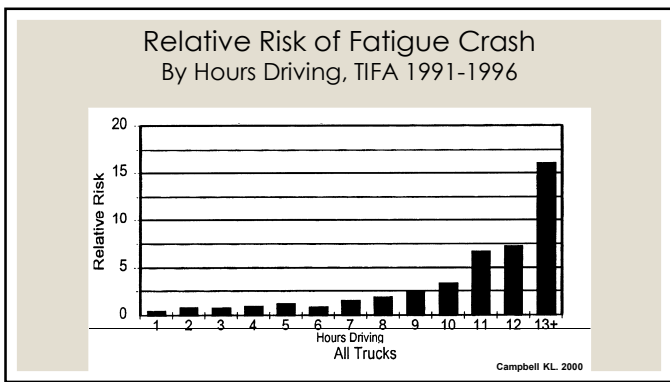
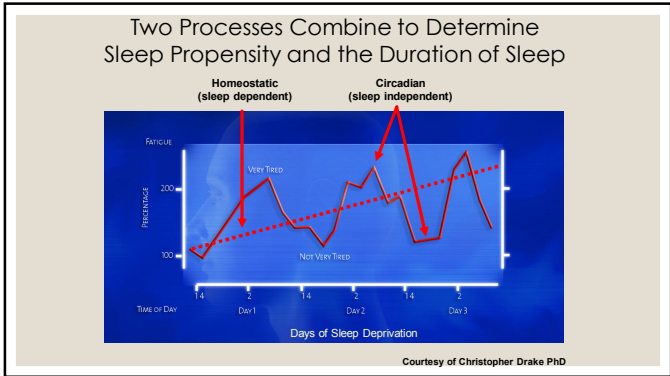
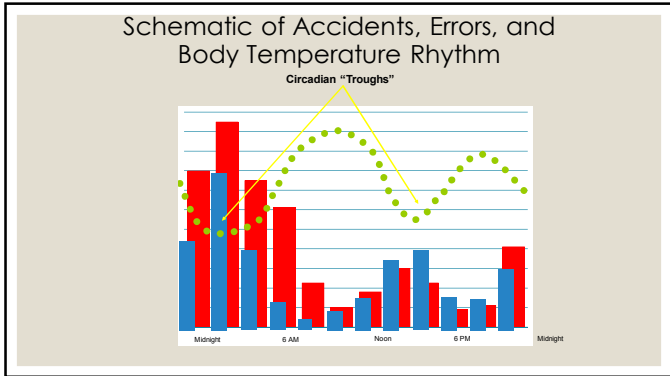


Mittler MM, et al. Sleep. 1988.

Vehicle Accident Data



Mittler MM, et al. Sleep. 1988.



The Main Problem

- Working full time at night
- Enjoying / working day hours
 - Chores / errands
 - Caring for children / sick family
 - Second job
 - Leisure activities
- Putting sleep last

Evaluation

- Clinical interview and physical exam
 - Predominant insomnia symptoms
 - Predominant hypersomnolence → sleep deprivation?
- Sleep logs
- Actigraphy
- Polysomnography is NOT routinely indicated
- Ancillary laboratory / imaging testing is NOT indicated

Morgenthaler, Sleep, 2007

Management

- Patient centered (individual circumstances)
- Behavioral measures
- Pharmacological

Behavioral Management

- Obtaining an **adequate** amount of **total sleep time**
 - If you sleep > 1 hour during your days off then you are partially sleep deprived
- **Napping before** or **during the shift**
- **Avoid alcohol, drugs, tobacco**
- Keep **sleep environment dark, quiet and cool**
- Keeping a regular schedule
- Timed light exposure with / without diurnal dark goggles
 - 2350 – 12000 lux
 - Might exacerbate diurnal insomnia

Morgenthaler. Sleep. 2007

Pharmacological Management

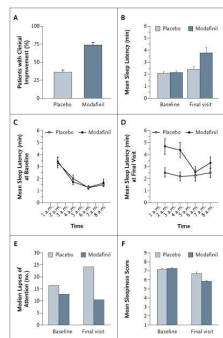
- Melatonin (weak effect, effects voided by light, quality standard problems)
- **Short acting hypnotics**
 - Helpful to prolong total sleep time in those with diurnal insomnia
 - Indications, contraindications, interactions, potential benefits & adverse effects
- Stimulants
 - **Modafinil** (FDA approved)
 - **Armodafinil** (FDA approved)
 - **Caffeine** – with caution during the second half of the shift

Morgenthaler. Sleep. 2007

Modafinil in SWD

- Shift workers are very sleepy
- Modafinil improvement of sleepiness was statistically significant
- Was this improvement **clinically significant?**
 - Mean SOL improved from **2.1 minutes** at baseline to **3.8 minutes** with **MSLT**

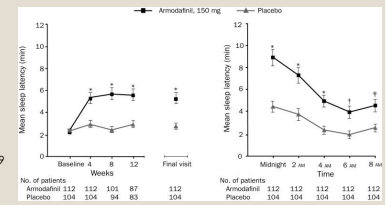
◦ Czeisler et al. NEJM. 2005



Armodafinil was Not Much Better

- MSLT mean SOL improved
 - 2.3 (1.6) minutes at baseline
 - 5.3 (5.0) with armodafinil
- Is this clinically significant?

◦ Czeisler et al. Mayo Clin Proc. 2009



Summary

- Chronic sleep deprivation and working off "normal" circadian times are impairing
- Obtaining as close as possible to 8 hours of sleep in a 24 hour period
 - One single block or supplementing with a nap before work
- Avoid drugs, alcohol, tobacco
- Keep sleep environment dark, quiet and cool
- Short acting hypnotics may be helpful if diurnal insomnia is present
- Stimulants with caution. They do not replace insufficient sleep time
- Routine is the best friend of your sleep



An Update on Home Sleep Testing

ALEXANDER VILLAREAL, MD
MEDICAL DIRECTOR OF THE SLEEP WELLNESS INSTITUTE

What is polysomnography and how is it classified?

- ### Polysomnography
- ▶ Simultaneous recordings of multiple physiologic signals during sleep, including:
 - ▶ Electroencephalogram (central, occipital, frontal)
 - ▶ Electromyogram (chin, tibialis)
 - ▶ Electrooculogram (right, left)
 - ▶ Electrocardiogram
 - ▶ Snoring microphone
 - ▶ Nasal/Oral Airflow (thermistor, pressure)
 - ▶ Thoracic Effort
 - ▶ Abdominal Effort
 - ▶ SaO2
 - ▶ Body Position / video
- Kushida, Sleep, 2005

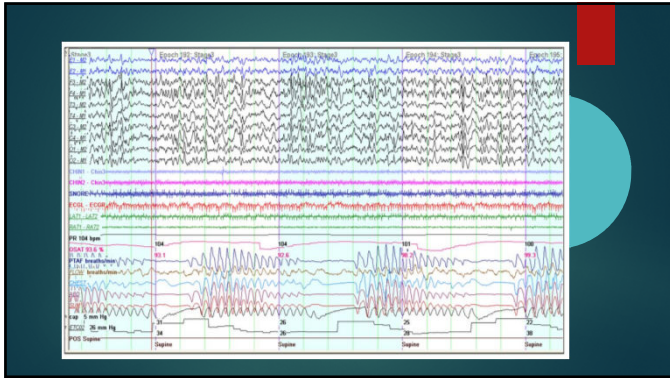
- ### Brief History of Polysomnography
- 1937 – Davis, Loomis, Harvey, Hobart - different stages of sleep were reflected in changes of the EEG
 - 1953 – Asereinsky & Kleitman -Identification of Rapid Eye Movements during Sleep
 - 1957 – Dement & Kleitman - Relationship between eye movements, body motility, and dreaming
 - 1968 – Rechtschaffen and Kales (R&K) - standard sleep scoring technique
 - 2007 – American Academy of Sleep Medicine - *Manual for the Scoring of Sleep and Associated Events*
 - 2013 – American Academy of Sleep Medicine - *Manual for the Scoring of Sleep and Associated Events, Version 2.2*

- ### Classification
- Type 1 – In sleep center, attended, overnight polysomnogram
 - Type 2 – Record same variables as type 1, unattended
 - Type 3 – Evaluate four physiologic parameters – not sleep
 - ▶ respiratory movement and airflow
 - ▶ heart rate
 - ▶ arterial oxygen saturations
 - ▶ (snoring), (position)
 - Type 4 – evaluate one or two parameters (saturation and airflow)
- ASDA Standards of Practice, Sleep 17:372-7, 1994

In Lab Polysomnogram (type 1)

Polysomnogram record (over time)

- Blood Oxygen Sat: Decrease in oxygen saturation during REM sleep
- Snoring: Large snoring event
- REM sleep: The eyes in REM sleep are closed
- REM sleep: REM sleep in REM sleep



Unattended Home Sleep Study (HST) Type III

- ▶ Abbreviated physiological variables
 - ▶ Nasal/Oral Airflow (thermistor, pressure)
 - ▶ Thoracic / Abdominal Effort
 - ▶ SaO2
 - ▶ Pulse rate
 - ▶ Snoring microphone*
 - ▶ Position*
- ▶ Rule in moderate to severe OSA with high pre-test probability
- ▶ Cannot rule out OSA with a negative test (↓ NPPV)
- ▶ Not used for other sleep disorders

Collop, JCSM, 2007

Is Home Sleep Testing a New Concept?

Sleep, 4(1):283-291
© 1981 Raven Press, New York

Comparisons of Home Sleep Recordings and Polysomnograms in Older Adults with Sleep Disorders

Sonia Ancoli-Israel, Daniel F. Kripke, William Mason, and Sam Messin

Department of Psychiatry, University of California, San Diego, and San Diego Veterans Administration Medical Center, San Diego, California

July 1980 • SOUTHERN MEDICAL JOURNAL • Vol. 83, No. 7

Verification of Sleep Apnea Using a Portable Sleep Apnea Screening Device

HELENE A. EMSELLEM, MD, Washington, DC; WILFRED A. CORSON, MD, Minneapolis, Minn;
BOB A. RAPPAPORT, MD, Washington, DC; STEVE HACKETT, CRTT, Edina, Minn;
LEONARD G. SMITH, and JEFFREY N. HAUSFELD, MD, Washington, DC

ABSTRACT: Sixty-seven patients referred to a sleep laboratory with a tentative diagnosis of obstructive sleep apnea were examined with a device designed for home use as an apnea screening system. Direct comparison was made between data obtained by the portable device and by data acquired simultaneously with standard polysomnographic techniques. The portable recorder measured nasal/oral airflow, chest wall movement, cardiac rhythm, and blood oxygen saturation. There was no significant difference in the number of disordered breathing events (apnea and hypopnea) recorded by the two systems. The portable device was found to have a sensitivity of 99% and a specificity of 96%. Indications and limitations for use of the portable home apnea screening test are reviewed and guidelines for normalcy suggested.

Management of Obstructive Sleep Apnea Syndrome in the Home*

The Role of Portable Sleep Apnea Recording

Michael F. Coughlin, M.D., F.C.C.P.,† and Michael Lucee, B.S., R.R.T.

Unattended four-channel sleep apnea recording has been shown to be an accurate tool in the diagnosis of moderate to severe obstructive sleep apnea. We selected 11 patients with severe obstructive sleep apnea who had an apnea-hypopnea index (AHI) determined by unattended sleep apnea recording. The mean AHI was 41 (SD, 17.5). We began nasal continuous positive airway pressure (NCPAP) at home empirically with 5 cm to 7.5 cm of pressure for several nights. We then adjusted the level of NCPAP after telephone interview with the patients and their significant others. The level of NCPAP was increased by 2.5-cm increments until the patients reported cessation of snoring and symptom improvement. The mean NCPAP was 5.0 cm (SD, 1.4). We repeated the overnight sleep apnea recording while on NCPAP in all patients at home to determine their response to therapy. All 11 patients had documented return

of their AHI to normal (mean AHI, 2.4; SD, 1.6). Statistically significant improvement was noted in the number of obstructive apneas, hypopneas, total respiratory events, and the AHI. Follow-up data confirmed that patients had improvement in their symptoms and remained compliant with therapy (mean follow-up=18 months, SD, 10.2). No serious complications were encountered when NCPAP was introduced in an unattended setting. (Chest 1993; 104:19-25)

AHI=apnea-hypopnea index; OSA=obstructive sleep apnea syndrome; NCPAP=nasal continuous positive airway pressure; EDI=respiratory disturbance index; REM=rapid eye movement

Sleep, 17(4):372-377
© 1994 American Sleep Disorders Association and Sleep Research Society

ASDA Standards of Practice

Practice Parameters for the Use of Portable Recording in the Assessment of Obstructive Sleep Apnea

Standards of Practice Committee of the American Sleep Disorders Association

What is the reimbursement for HST?

Sleep Services National Payment

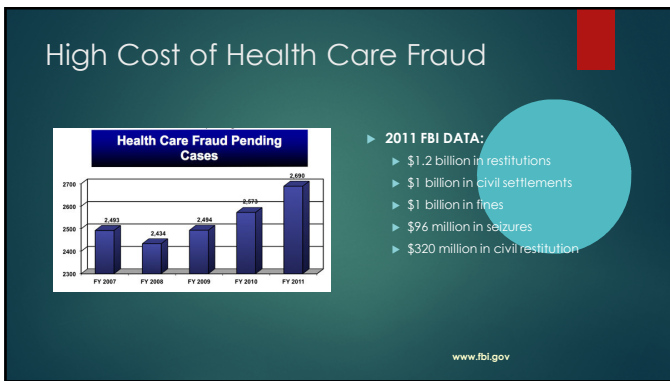
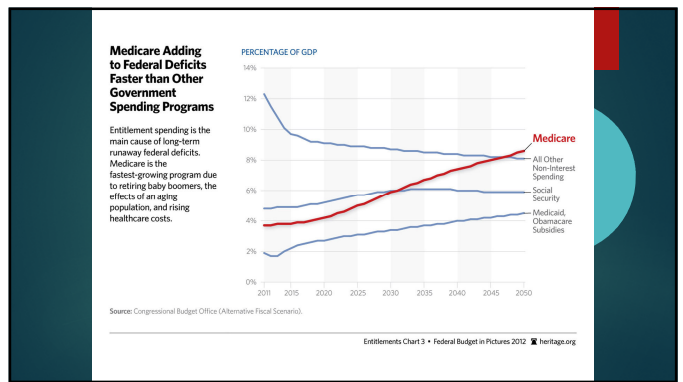
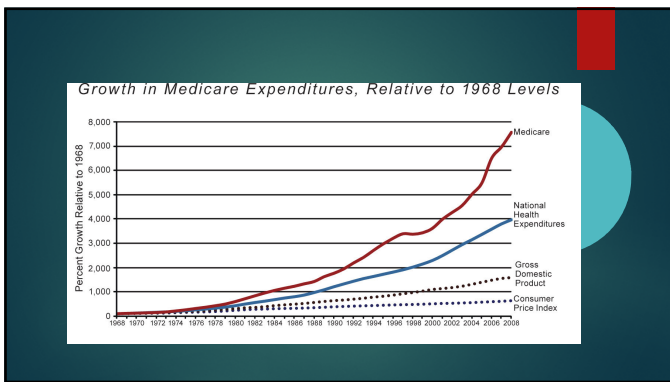
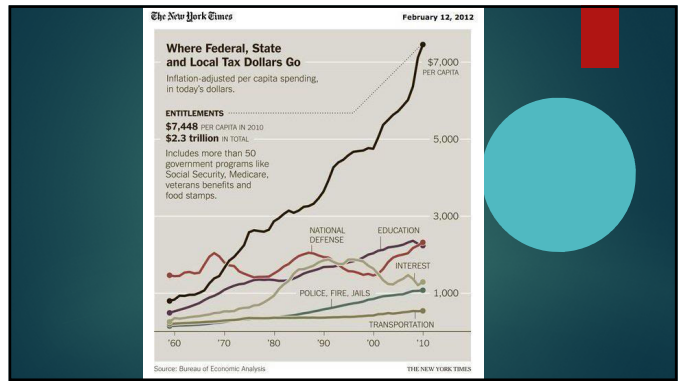
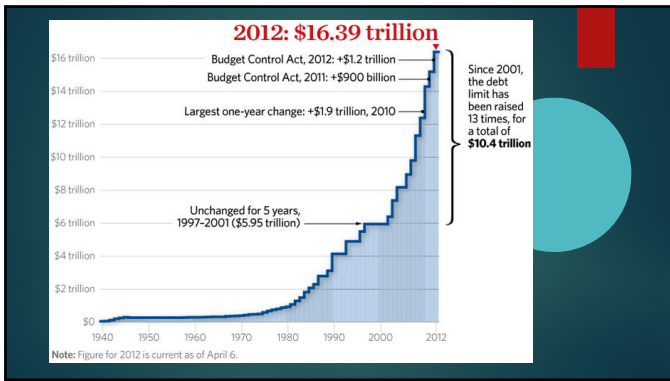
CPT Code	Modifier*	Description	2013 Payment	2014 Proposed Payment	% Change
93782		Polysomn <6 yrs 4hr paramtr	\$1,045.19	\$994.71	-4.8%
93782	TC	Polysomn <6 yrs 4hr paramtr	\$917.60	\$862.74	-6.0%
93782	26	Polysomn <6 yrs 4hr paramtr	\$127.59	\$133.96	3.4%
93783		Polysomn <6 yrs 8hr paramtr	\$1,115.61	\$1,061.76	-4.8%
93783	TC	Polysomn <6 yrs 8hr paramtr	\$976.12	\$918.02	-6.0%
93783	26	Polysomn <6 yrs 8hr paramtr	\$139.49	\$143.72	3.0%
93800		Sleep study unattd. ntd	\$152.36	\$172.68	+13.3%
93800	TC	Sleep study unattd. ntd	\$121.53	\$125.90	+3.6%
93800	26	Sleep study unattd. ntd	\$51.03	\$52.32	2.5%
93801		Sleep study unattd. ntd	\$95.26	\$84.97	-10.7%
93801	TC	Sleep study unattd. ntd	\$47.63	\$42.26	-11.3%
93801	26	Sleep study unattd. ntd	\$47.63	\$46.52	-2.3%
93806		Sleep study unattd. ntd	\$153.38	\$172.26	+12.3%
93806	TC	Sleep study unattd. ntd	\$122.48	\$109.85	-10.3%
93806	26	Sleep study unattd. ntd	\$60.90	\$62.41	2.5%
93810		Polysomn 6-17 yrs 4hr paramtr	\$645.76	\$613.23	-5.1%
93810	TC	Polysomn 6-17 yrs 4hr paramtr	\$526.00	\$491.82	-6.5%
93810	26	Polysomn 6-17 yrs 4hr paramtr	\$119.76	\$123.40	3.0%
93811		Polysomn 6-17 yrs 8hr paramtr	\$677.40	\$640.00	-5.5%
93811	TC	Polysomn 6-17 yrs 8hr paramtr	\$552.87	\$517.50	-6.4%
93811	26	Polysomn 6-17 yrs 8hr paramtr	\$124.52	\$128.40	3.1%

<http://www.aasmnet.org/resources/pdf/CMSPaymentComparison.pdf>

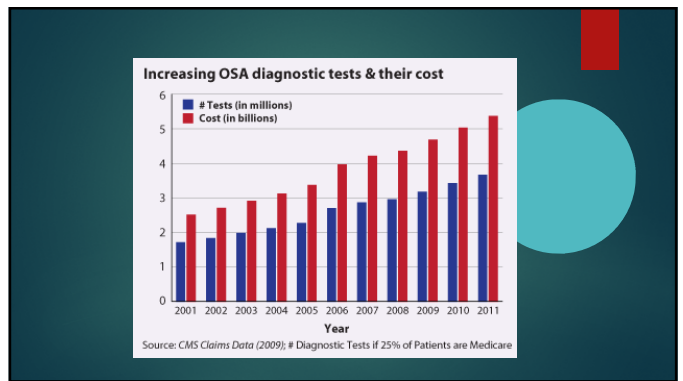
Why are we using home sleep testing if it is less precise and has lower reimbursement?

- ### HST in Suspected Simple OSA
- ▶ Patient comfort / convenience
 - ▶ Lower cost for the patient
 - ▶ High coinsurance payments
 - ▶ Increasing deductibles
 - ▶ Patient outcomes appear not to be worsened*
 - ▶ Good program on appropriate patients
 - ▶ PAP adherence
 - ▶ Epworth, QOL
 - ▶ Mandated by health insurance companies
- Collap. JCSM, 2007

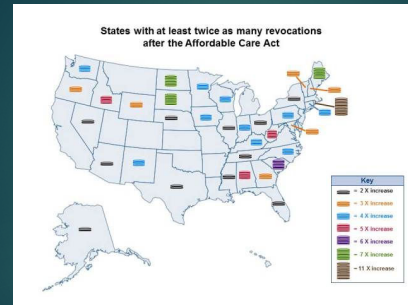
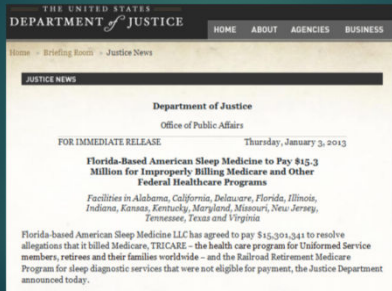
Why are the Government and Health Insurance Companies Pushing for HST?



- 2011 FBI DATA:
- \$1.2 billion in restitutions
 - \$1 billion in civil settlements
 - \$1 billion in fines
 - \$96 million in seizures
 - \$320 million in civil restitution



Also Affecting Sleep Medicine



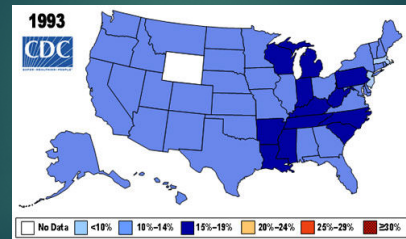
Prevalence of SDB in the General Population is Increasing

- ▶ Wisconsin Cohort Group
- ▶ General Population
- ▶ SDB = OSA + CSA
- ▶ AHI > 5 / hr
 - ▶ Women 9% SDB; 5% OSA
 - ▶ Men 24% SDB; 7% OSA

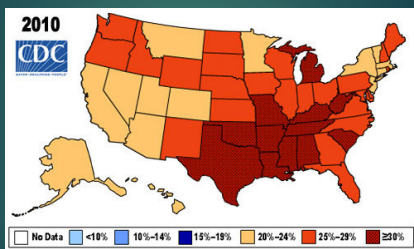


Young. NEJM 1993

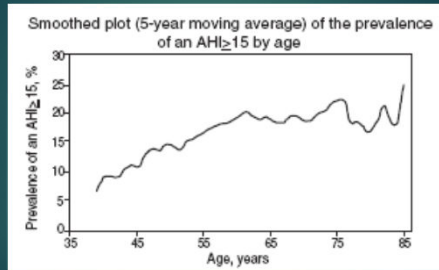
Obese Adults – BMI > 30



Obese Adults – BMI > 30



OSA Worsens with Age



Other Patients at High Risk for OSA

- ▶ Obesity (BMI > 35)
- ▶ Congestive heart failure
- ▶ Atrial fibrillation
- ▶ Refractory hypertension
- ▶ Diabetes Type 2
- ▶ Stroke
- ▶ Nocturnal dysrhythmias
- ▶ Pulmonary HTN
- ▶ High-risk driving populations (CDL)
- ▶ Preoperative for bariatric surgery

Epslein. JCSM. 2009

What are the Contraindications for an HST?

HST Contraindications

- ▶ Severe pulm disease
- ▶ BMI > 40
- ▶ Narcotic analgesic use
- ▶ Raynaud's
- ▶ Neuromuscular disease
- ▶ Stroke
- ▶ CHF
- ▶ Inability to cooperate
- ▶ Lack of dexterity
- ▶ Asymptomatic patients
- ▶ Individuals suspected of having other sleep disorders
- ▶ Identification of individuals working in safety-critical occupations
- ▶ Pediatric populations

Blackman. Can Resp J. 2010
Collop. JCSM. 2007

Does a HST adversely affect OSA outcomes?

Noninferiority of Functional Outcome in Ambulatory Management of Obstructive Sleep Apnea

Samuel T. Kuna^{1,2}, Indira Gurubhagavata^{1,2}, Greg Maidin³, Sakheni Hin¹, Kathryn C. Hartwig¹, Sue McCloskey¹, Robert Hachadoorian¹, Sharon Hurley³, Rajesh Gupta¹, Bethany Staley², and Charles W. Atwood^{4,5}

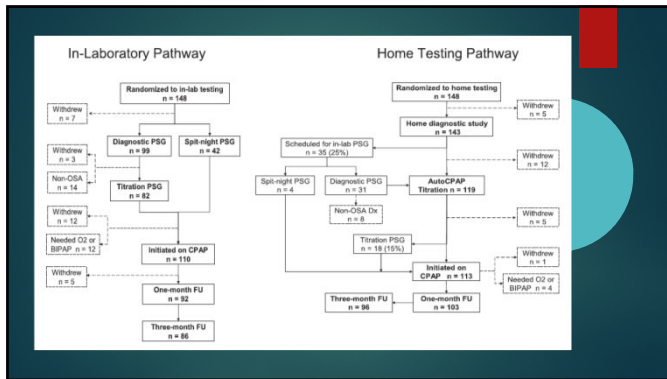
¹Department of Medicine, Philadelphia Veterans Affairs Medical Center, Philadelphia; ²Department of Medicine, University of Pennsylvania, Philadelphia; ³Biomedical Statistical Consulting, Wynnewood; ⁴Department of Medicine, Veterans Affairs Pittsburgh Healthcare System, Pittsburgh; and ⁵Department of Medicine, University of Pittsburgh, Pittsburgh, Pennsylvania

Am J Respir Crit Care Med Vol 183, pp 1238-1244, 2011
Originally Published in Press as DOI: 10.1164/rccm.201011-1770OC on January 21, 2011
Internet address: www.atsjournals.org

Baseline Participant Characteristics

Variable	Participants Randomized to Home Testing (n = 113)	Participants Randomized to In-laboratory Testing (n = 110)	P Value
Age, yr	55.1 ± 10.3	57.8 ± 10.4	0.02
Percent males	95.6	94.5	0.77*
Percent African American	44.2	34.5	
Percent Hispanic	1.8	2.7	
Body mass index, kg/m ²	35.0 ± 7.5	34.2 ± 5.2	0.34
Weight, kg	108.6 ± 24.1	108.8 ± 17.4	0.94
FOSQ total score	15.0 ± 3.2	14.2 ± 2.9	0.53
General productivity	3.2 ± 0.6	3.1 ± 0.6	0.43
Vigilance	2.9 ± 0.7	2.9 ± 0.7	0.97
Social outcome	3.2 ± 0.8 (n = 109)	3.2 ± 0.8 (n = 107)	0.79
Activity level	2.8 ± 0.7	2.7 ± 0.7	0.22
Intimacy and sexual relationships	2.9 ± 1.0 (n = 103)	2.9 ± 1.0 (n = 106)	0.60
SF-12 score			
Physical activity component	36.7 ± 10.9	38.2 ± 10.2	0.29
Mental health component	44.4 ± 10.8	41.1 ± 10.7	0.02
Epworth total score	12.0 ± 5.3	12.9 ± 5.1	0.21
PVT transformed lapses	3.8 ± 2.6 (n = 111)	4.3 ± 3.7	0.26
CES-D total score	23.3 ± 7.8	25.0 ± 8.8 (n = 109)	0.13
MAP index	0.78 ± 0.13 (n = 107)	0.76 ± 0.14 (n = 104)	0.25

Definition of abbreviations: CES-D = Center for Epidemiologic Studies Depression Scale; FOSQ = Functional Outcomes of Sleep Questionnaire; MAP index = Multivariable Apnea Prediction index; PVT = Psychomotor Vigilance Task; SF-12 = Short Form 12.
* Fisher's exact test.



Results & Conclusion

- ▶ 296 subjects enrolled
- ▶ 240 (88%) diagnosed with OSA
- ▶ 213 (75%) initiated on CPAP
- ▶ Mean FOSQ \pm SD improved
 - ▶ 1.74 \pm 2.81 in the home group ($P < 0.001$)
 - ▶ 1.85 \pm 2.46 in the in-laboratory group ($P < 0.0001$)
- ▶ Mean \pm SD hours of daily CPAP adherence
 - ▶ 3.5 \pm 2.5 hours/day in the home group
 - ▶ 2.9 \pm 2.3 hours/day in the in-laboratory group ($P = 0.08$)
- ▶ **CONCLUSIONS:**
- ▶ FOSQ & Adherence were not significantly different

Kuna, S. AJRCCM. 2011

Other Similar Studies Exist

- ▶ A multisite randomized trial of portable sleep studies and positive airway pressure autotitration versus laboratory-based polysomnography for the diagnosis and treatment of obstructive sleep apnea: the HomePAP study. *Rosen et al. Sleep. 2012 Jun 1;35(6):757-67*
- ▶ Therapeutic decision-making for sleep apnea and hypopnea syndrome using home respiratory polygraphy: a large multicentric study. *Masa et al. Am J Respir Crit Care Med. 2011 Oct 15;184(8):964-71*
- ▶ Outcomes of home-based diagnosis and treatment of obstructive sleep apnea. *Skomro et al. Chest. 2010 Aug;138(2):257-63*
- ▶ Portable monitoring and autotitration versus polysomnography for the diagnosis and treatment of sleep apnea. *Berry et al. Sleep. 2008 Oct;31(10):1423-31.*
- ▶ Diagnosis and initial management of obstructive sleep apnea without polysomnography: a randomized validation study. *Mulgrew et al. Ann Intern Med. 2007 Feb 6;146(3):157-66.*

What about auto titration positive airway pressure (APAP)?

APAP as Good as a CPAP Titration in Simple OSA

- ▶ APAP was equivalent to CPAP in efficacy, adherence, and functional outcomes after 3 or 6 months
 - ▶ Kushida, Sleep. 2011
- ▶ APAP was more cost effective and offered similar outcomes among patients with moderate-severe OSA without serious co-morbidities.
 - ▶ McArdle, Thorax. 2010
- ▶ Quality, algorithms and reports vary amongst vendors.
- ▶ APAP cost is the same or less* than for fixed pressure CPAP

APAP Contraindications

- ▶ To diagnose OSA (instead of a PSG)
- ▶ Congestive heart failure
- ▶ Significant lung disease; (i.e. COPD)
- ▶ Obesity hypoventilation syndrome
- ▶ Patients who do not snore (naturally or s/p palate surgery)
- ▶ Central sleep apnea syndromes

Morgenthaler, Sleep. 2008

How do I start an out of sleep center testing program?

The screenshot shows the American Academy of Sleep Medicine (AASM) website. The main heading is 'Standards of Out of Center Sleep Testing Accreditation'. Below this, there is a section titled 'OCST Standards Appendix A' which lists several clinical guidelines and practice parameters for the use of validated portable monitors in the diagnosis of obstructive sleep apnea in adult patients. The page also includes a section for 'About the AASM Standards of OCST Accreditation' and a list of items that demonstrate compliance, such as patient history, facility and equipment, practice standards and procedures, data acquisition, scoring and report, patient education and care, patient records, and workflow.

Deciding if You Can Start an OCST

- ▶ Equipment
- ▶ Personnel
- ▶ Sleep providers
- ▶ Patient flow
- ▶ Economics
- ▶ Other Considerations



Equipment

- ▶ Deciding the home testing device to use
 - ▶ Simplicity of use, accuracy in leads (SCOPERS Method)
 - ▶ Automatic reports versus ability and ease of scoring
 - ▶ Number of channels (more leads make it more difficult for the patient)
 - ▶ Additional data: position, snore, EEG
 - ▶ Cost (upfront, disposables) – Sleep Review Magazine
- ▶ Number of devices needed (what is your estimated volume?)
- ▶ Buying vs. Leasing
- ▶ Compatibility with existing PSG software
- ▶ Provisions in case the patient does not return the equipment

Personnel

- ▶ Identify the following people
 - ▶ Scheduling
 - ▶ Coordinating shipments or HST delivery
 - ▶ Patient instruction and education
 - ▶ Equipment cleaning / inventory
 - ▶ HST Scoring / Interpretation
 - ▶ Software / IT
 - ▶ Billing
 - ▶ Monitoring outcomes (failures, negative studies, turnover time, etc.)
- ▶ Full time vs. part time vs. contracted services

Patient Flow

- ▶ Who is allowed to order an HST?
 - ▶ Sleep physician, primary care, other specialists
- ▶ Who will first contact / communicate with the patient?
- ▶ Patient education
- ▶ Coordination of delivery and return of equipment
 - ▶ Sleep center, home, mail / courier
- ▶ Scoring / interpretation of studies
- ▶ Patient / health insurance billing
- ▶ Patient follow up and treatment
 - ▶ Sleep physician, primary care, other specialists
- ▶ **This model works only with adequate patient evaluation & follow up**

Economics

- ▶ Who else is doing HST in your area? (know your competition)
 - ▶ Primary care groups, specialty groups, dentists, chiropractors, DME
- ▶ Are you allowed to provide HST to patients?
 - ▶ Check contracts with various payors (start with the largest ones)
 - ▶ Are you excluded in favor of "preferred providers"?
- ▶ Getting to be part of an "authorized provider" for 3rd party payor
 - ▶ Obtain application form
 - ▶ Track outcomes (number of studies, failed data, turnover time, compliance, ESS, FOSQ, etc)
- ▶ What is your expected profit margin? Will it pay the bills?

Partnering with a National Ambulatory Sleep Testing Company

- ▶ May represent a reasonable alternative
- ▶ Check quality
- ▶ Research companies
- ▶ Ensure they are contracted with local 3rd party payors
 - ▶ NovaSom
 - ▶ Sleep Quest
 - ▶ National Sleep Services
 - ▶ Watermark Medical
 - ▶ Sleep Disorder Services

Durable Medical Equipment

- ▶ AASM's Innovation Care Delivery and Management Program for Patients with OSA
- ▶ DME in the Sleep Center to provide integrated care to OSA patients
- ▶ Not for patients with Government Insurance
- ▶ Meant to minimize transitions of patient care and improve outcomes
- ▶ Can add an additional revenue stream to the sleep center
- ▶ Plan logistics carefully

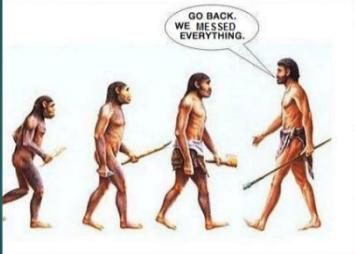
▶ <http://www.aasm.org/resources/pdf/SleepInnovationProposal.pdf>

▶ <http://www.aasm.org/resources/pdf/DMEApplication.pdf>

What if I wait before changing my practice?

The screenshot shows a news article from The Boston Globe. The headline is "Sleep HealthCenters closes all 19 locations" by Chelsea Conaboy, dated January 9, 2013. The article text states: "Sleep HealthCenters, a for-profit chain of sleep clinics mostly in New England, abruptly closed this week, leaving some patients who showed up for appointments facing locked doors and a closure notice citing 'circumstances beyond our control.'" It further explains that the company is struggling financially due to a shift from sleep centers to home testing. A red box highlights a quote from Richard Mikels: "This change from the pervasive use of sleep centers to the pervasive use of home testing, which is less lucrative for the provider, is actually at the core of the problem," Mikels said.

Change is Here to Stay



The image shows a classic evolutionary diagram with four stages of man from left to right: an ape-like creature, a more upright hominid, a modern-looking man, and a modern man. A speech bubble from the modern man on the right says "GO BACK, WE BLESSED EVERYTHING." This is a humorous reference to the concept of technological regression or the idea that modern conveniences have made us complacent.

What to do with the existing infrastructure?



In Laboratory Polysomnography

Non-Sleep Disordered Breathing

- ▶ Parasomnias (RBD)
- ▶ Nocturnal Seizures
- ▶ Narcolepsy
- ▶ Other Hypersomnias
- ▶ Research

Sleep Disordered Breathing

- ▶ Central sleep apnea
- ▶ Hypoventilation – CO₂
- ▶ OSA
 - ▶ Pediatric
 - ▶ Lack of dexterity / behavioral
 - ▶ Overlap syndrome (+ COPD)
 - ▶ At risk for Complex Sleep Apnea

Final Remarks

Summary – The Ugly

- ▶ HST & APAP will become more prevalent as health insurance companies and government attempt to cut costs
- ▶ Prior authorizations for in laboratory studies will become more onerous and routine
- ▶ Reimbursement for in laboratory polysomnography will drop
- ▶ Sleep centers and people working in them will be affected

Summary – The Good

- ▶ Expect more OSA patients as the population grows older & heavier
- ▶ HST will open the door to patients who would otherwise not be tested.
- ▶ Higher volume of more complex patients (card, neuro, pulm, pain, peds)
- ▶ An initial sleep consultation with defensive documentation will ensure the appropriateness of an in lab study.
- ▶ Good patient care will be rewarded. (compliance, proper evaluation and follow up)



Thank You